

EPIC CAPITAL

WEALTH MANAGEMENT



WHERE EXPERIENCED ADVICE MEETS ACTIONABLE IDEAS.

WELCOME TO EPIC CAPITAL WEALTH MANAGEMENT



PEGGY'S POINT LIGHTHOUSE SITS ATOP THE RUGGED GRANITE COASTLINE AT PEGGY'S COVE IN NOVA SCOTIA CANADA. THIS FAMOUS LIGHTHOUSE HAS ONE ROLE TO FILL, ONE PURPOSE: SAFEGUARDING SAILORS FROM RUNNING OFF COURSE DURING STORMY WEATHER, WHILE ASSISTING THEM IN A SAFE ARRIVAL AT THEIR FINAL DESTINATION. ALTHOUGH THIS LIGHTHOUSE STANDS ONLY FIFTY FEET HIGH, IT HAS STOOD STRONG DURING SOME OF THE MOST SEVERE ATLANTIC COASTAL STORMS OVER THE LAST ONE-HUNDRED YEARS.

EPIC CAPITAL WEALTH MANAGEMENT BELIEVES THAT IT HAS ONE ROLE TO FILL, ONE AIM AND ONE PURPOSE – SAFEGUARDING OUR CLIENTS FROM THE MANY OBSTACLES THAT STAND IN THE WAY OF BUILDING AND PROTECTING THEIR PERSONAL WEALTH. OUR AIM IS TO HAVE EACH CLIENT LIVE THE LIFE THAT THEY HAD ALWAYS ENVISIONED LEADING – FOR THEMSELVES, FOR THEIR FAMILY, AND FOR THEIR LEGACY. OUR PURPOSE IS TO DELIVER THAT REALITY.



Edward R. Doughty, CFP®
Managing Director

Epic Capital Wealth Management is an independent financial consulting and investment advisory firm based in Charlotte, North Carolina. As an independent firm affiliated with the nation's largest independent broker-dealer, LPL Financial, we offer a powerful combination of objective advice, client focus, unbiased research, and non-proprietary investment products from the highest quality providers available. Our clients receive experienced and solution-driven financial advice while having access to virtually unlimited resources to compliment our wealth planning strategies. High-level personalized service is what our employees look to provide to our clients each and every day they walk through our doors. At Epic Capital, we have an unwavering client focus. We hold ourselves to a higher standard. We believe that accountability trumps good intentions. If we do not meet our clients' expectations, we have not only failed them, but we have failed ourselves. Accessibility, communication, and efficiency in our deliverables are priority.

Our attention to detail and our thorough understanding of each client's unique set of circumstances allow us to execute effective planning strategies that can play an integral role in helping them to achieve their financial objectives. We put our pride in the work that we do for them, and we are sincerely grateful for the trust and confidence that our clients place in us.

We serve a distinct customer base and, for the benefit of our existing clients, we will only accept a limited number of new clients every year. I'd like to invite you to take a look at Epic Capital Wealth Management and "*Experience the Epic Difference*" for yourself.

Edward R. Doughty, CFP®

Epic Capital Wealth
Management provides
strategies that aim to
simplify and clarify the
multi-dimensional
financial affairs of our
everyday lives.

“We look to remove the burden of having to manage one’s own financial life, while at the same time, still trying to live life. We look to simplify the complicated, organize the chaotic, and put together a plan of action that can help our clients reach whatever it is in their life that matters most. We all have goals. We have all envisioned a great life. Let’s now define yours and then work toward having it become a reality.”

ACHIEVING LIFE’S GOALS

The advisors at Epic Capital customize each and every client relationship and allow each client to dictate the amount of interaction, or the frequency of the interaction, that they have with their advisor. This allows our clients to be as involved or as uninvolved as they would like to be, based on the amount of time that they feel they have available.

We value our client's time, and we respect the fact that they have lives outside of their finances. Their comfort comes in the fact that they know there is always a second set of eyes watching over their assets when they are out fulfilling their life's other interests or obligations. This is as important to us, as it is to them.



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EXPERIENCED ADVICE
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WEALTH MANAGEMENT CYCLE

The wealth cycle contains the four most distinct stages during one's financial lifetime. Dependent upon your particular stage in life, we create a customized plan that keeps you moving forward with confidence and purpose. Our shared and common "end-goal" here at Epic Capital is for you to live your life doing the things you truly enjoy, while enjoying those things with the ones you love.

1. ACCUMULATION Financial Planning College Planning Tax Planning Liability Planning	2. GROWTH & PRESERVATION Investment Planning Investment Management Tax Management Retirement Planning
4. TRANSFER Wealth Distribution Legacy Planning Charitable Giving Wealth Stewardship	3. UTILIZATION Investment Management Income Planning Tax Management Estate Planning



THE FINANCIAL PLANNING PROCESS

At Epic Capital, our experience dictates that the most appropriate plan can only be put in place once there is a clear understanding of each client's unique financial situation. Our process begins with great emphasis on step one: **getting to know you**. We look to gain clarity on everything in your life that can assist with, or become an obstacle in, your achieving the financial goals that you set forth.

Financial planning is unique because the end-goal is usually fixed, but the path in getting to that goal is always adjusting and never ever a straight line. At Epic Capital your plan is reviewed on an ongoing basis. As adjustments are needed or as outside factors dictate, we communicate these observations, thoughts and ideas to you directly. There is no black-box model here. We are transparent, open and dialogue driven.

THE E-P-I-C PROCESS



HOW EPIC CAPITAL DIFFERS

We well recognize that our clients have virtually unlimited choices from which to choose where they have their wealth managed. We also well understand that most investment products today have been commoditized and can be acquired inexpensively through a vast array of discount firms. Our knowing these two things forces us to realize that *added-value* comes from having passion and pride in what you do. Our value comes from the deep relationships that we forge, the unshakable trust that we build, the personalized service that we provide, and the sound advice that we give on an ongoing basis.

WHAT WE DO FOR OUR CLIENTS

Here is what you can expect, as a client of ours:

INTANGIBLE

- Experienced advice provided by seasoned wealth planning professionals
- Appreciation and understanding of your financial needs, concerns and goals
- Solution-based financial planning suggestions and recommendations
- The Wealth Walk™ Conversation
- Emphasis on the importance of risk management, tax efficiency and asset diversification
- Proactive approach to timely and market sensitive wealth planning strategies
- A personalized advisory relationship built on mutual trust, respect, and transparency
- A responsive and action-oriented Client Service Team

TANGIBLE

- Comprehensive Wealth Plan (needs based, and/or ala carte solution-based)
- Investment Policy Statement
- Personal Wealth Organizer
- Monthly asset valuation and account reports
- Year-End Summary Tax Reports
- 24-hour online account viewing
- Access to Epic Capital's web-based Wealth Management System
- Quarterly Wealth Reviews
- Market Commentary and Wealth Planning Strategies (subscriptions optional)
- Invitations to industry expert conference calls and speaking engagements
- In-office events, Investor Luncheons, and other educational and/or social gatherings

SERVICES

- ESTATE PLANNING
- INVESTMENT PLANNING
- TAX PLANNING
- RETIREMENT PLANNING
- INCOME PLANNING
- RISK MANAGEMENT
- LONG-TERM CARE PLANNING
- EDUCATION PLANNING
- EMPLOYEE BENEFIT PLANNING
- LEGACY PLANNING





TODAY'S GLOBAL MARKETS ARE **VOLATILE**.

TODAY'S GLOBAL MARKETS ARE **OPPORTUNISTIC**.

DOMESTIC AND FOREIGN ECONOMIES ARE **FRAGILE**.

DOMESTIC AND FOREIGN ECONOMIES ARE **GROWING**.

NEWLY CREATED INVESTMENT VEHICLES CREATE **CONFUSION**.

NEWLY CREATED INVESTMENT VEHICLES CREATE **OPPORTUNITY**.

SOME TIME-TESTED INVESTMENT PHILOSOPHIES HAVE **FAILED**.

SOME TIME-TESTED INVESTMENT PHILOSOPHIES HOLD **TRUE**.

CHANGES IN TAX POLICIES HAVE CREATED SOME **UNCERTAINTY**.

CHANGES IN TAX POLICIES HAVE MADE SOME THINGS **CERTAIN**.

SUSTAINING YOUR LIFE-STYLE IN RETIREMENT IS **UNLIKELY**.

SUSTAINING YOUR LIFE-STYLE IN RETIREMENT IS **LIKELY**.

LEAVING A LEGACY IS A WONDERFUL **DREAM**. LEAVING A LEGACY IS A BETTER **REALITY**.



CONTACT US

If you are not absolutely satisfied with the financial advice that you've been receiving, can you see the wisdom of at least getting a second opinion?

CALL US TODAY AT 704-552-5200

We welcome the opportunity to meet with you.

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